

## EQUATIONAL REFORMULATION OF FORMAL THEORIES

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1. There are many important instances of formal theories (cf., for example, [4]), as propositional calculi, predicate calculi, formal arithmetics, axiomatic set theories and so on. Within the theory of universal algebras the concept of variety is of particular interest (cf. for example [2]). Every variety, with appropriate precision introduced, becomes a formal theory. Formal theories of this kind contain formulae of the form  $t_1 = t_2$ , where  $t_1$  and  $t_2$  are terms (constructed out of some primitive symbols, constants, individual variables and operation symbols; cf. [2]). Axioms are some formulae given in advance, as formulae of the form  $t = t$ , where  $t$  is any term. The rules of inference are (in agreement with elementary properties of equality):

$$(1) \quad \frac{t_1 = t_2 \quad t_1 = t_2, t_2 = t_3}{t_2 = t_1} \quad \frac{t_1 = t_1', \dots, t_n = t_n'}{\omega t_1 \dots t_n = \omega t_1' \dots t_n'}$$

(where  $t_i$  is any term and  $\omega$  an operation symbol of length  $n$ ).

A formal theory of this kind we shall call *equational formal theory*. One of our aims is to investigate the connection between equational and other formal theories.

2. Let  $\mathcal{T}$  be a formal theory with axioms  $A_i$  ( $i \in I$ ;  $I$  is a given set of indexes). By  $\mathcal{T}(\sim)$  we denote the equational theory defined as follows:

- 1° The formulae of  $\mathcal{T}$  play the role of individual variables of  $\mathcal{T}(\sim)$ ; the symbol  $\&$  is an operation symbol<sup>1)</sup> of length 2.
  - 2° The axioms of  $\mathcal{T}(\sim)$  are formulae of the form
- (2) (a)  $A_i \sim \top$  ( $\top$  is an arbitrarily chosen axiom of  $\mathcal{T}$ ;  $i \in I$ ),  
 (b)  $A \sim A$ ,  $\& AB \sim \& BA$ ,  $\&\& ABC \sim \& A \& BC$  and  $\& A \top \sim A$   
 ( $A$ ,  $B$  and  $C$  are terms of  $\mathcal{T}(\sim)$ ).

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<sup>1)</sup> The terms of  $\mathcal{T}(\sim)$  satisfy the following definition: (i) formulae of  $\mathcal{T}$  are terms of  $\mathcal{T}(\sim)$ ; (ii) if  $A$  and  $B$  are terms, then  $\& AB$  is a term; (iii) every term is obtained by a finite number of applications of (i) and (ii).

The formulae of  $\mathcal{T}(\sim)$  are of the form  $A \sim B$ , where  $A$  and  $B$  are terms and  $\sim$  and  $\&$  are not among the symbols of  $\mathcal{T}$ .

(c) Let

$$\frac{\Phi_1, \dots, \Phi_k}{\Phi}$$

be any rule on inference of  $\mathcal{J}$ ; then the formula

$$\& \dots \& \Phi_1 \dots \Phi_k \sim \& \& \dots \& \Phi_1 \dots \Phi_k \Phi$$

is an axiom of  $\mathcal{J}(\sim)$ .

3° The rule of inference of  $\mathcal{J}(\sim)$  are

$$\frac{A \sim B}{B \sim A}, \quad \frac{A \sim B, B \sim C}{A \sim C}, \quad \frac{A_1 \sim B_1, A_2 \sim B_2}{\& A_1 A_2 \sim \& B_1 B_2}$$

( $A, B, \dots$  are terms of  $\mathcal{J}(\sim)$ ).

Note. In the sequel we shall write  $A \& B$ ,  $A \& B \& C$  etc., instead of  $\& AB$ ,  $\& A \& BC$  etc., respectively. The axiom (b) prevents us from possible confusion. For example, in this case axiom (c) becomes:  $\Phi_1 \& \dots \& \Phi_k \sim \Phi_1 \& \dots \& \Phi_k \& \Phi$ .

As we shall see soon, the symbol  $\&$  is related to the metatheoretic *and* while the symbol  $\sim$  is, so to say, a formalization of the relation that we call *equiconsequence*. In fact, we prove

**Theorem 1.** Let  $P_1, \dots, P_r, Q_1, \dots, Q_s$  be formulae of  $\mathcal{J}$ ; then

$$\frac{\vdash P_1 \& \dots \& P_r \sim Q_1 \& \dots \& Q_s}{\mathcal{J}(\sim)} \text{ iff } \frac{P_1, \dots, P_r \vdash Q_1, \dots, Q_s}{\mathcal{J}} \text{ and}$$

$$Q_1, \dots, Q_s \vdash \frac{P_1, \dots, P_r}{\mathcal{J}}$$

We shall prove two lemmata first.

**Lemma 1.** If  $P_1, \dots, P_r \vdash Q$ , then  $\frac{\vdash P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r, \& Q}{\mathcal{J}(\sim)}$  where  $P_i$  and  $Q$  are formulae of  $\mathcal{J}$ .

**Proof.** We use induction on the length  $n$  of the shortest proof of  $P_1, \dots, P_r \vdash Q$ .

*Case  $n=1$ .*  $Q$  is either  $P_i$  (for some  $1 \leq i \leq r$ ) or  $A_j$  (for some  $j \in I$ ). In both cases we have

$$\frac{\vdash P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r, \& Q}{\mathcal{J}(\sim)}$$

(for we have

$$\frac{\vdash P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r, \& P_i}{\mathcal{J}(\sim)}$$

$$\frac{\vdash P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r, \& \top}{\mathcal{J}(\sim)}, \quad \frac{\vdash A_j \sim \top}{\mathcal{J}(\sim)}$$

*Case  $n > 1$ .* The following subcases are possible:

- (i)  $Q$  is  $P_i$  (for some  $1 \leq i \leq r$ );
- (ii)  $Q$  is  $A_j$  (for some  $j \in I$ );

(iii)  $Q$  is a consequence of some preceding formulae by a rule

$$\frac{\Phi_1, \dots, \Phi_k}{\Phi}$$

In both (i) and (ii) we proceed as in Case  $n=1$ . In (iii) by induction hypothesis we have

$$\begin{array}{c} \vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& \Phi_1 \\ \mathcal{I}(\sim) \\ \vdots \\ \vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& \Phi_k. \\ \mathcal{I}(\sim) \end{array}$$

Therefrom we derive immediately

$$(3) \quad \vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& \Phi_1 \& \dots \& \Phi_k \\ \mathcal{I}(\sim)$$

i.e.,

$$(4) \quad \vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& \Phi_1 \& \dots \& \Phi_k \& \Phi \\ \mathcal{I}(\sim)$$

[for

$$\vdash\text{-} \Phi_1 \& \dots \& \Phi_k \sim \Phi_1 \& \dots \& \Phi_k \& \Phi] \\ \mathcal{I}(\sim)$$

From (3) and (4) we derive

$$\vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& \Phi \\ \mathcal{I}(\sim)$$

i.e.

$$\vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& Q \quad (\text{for } Q \text{ is } \Phi). \\ \mathcal{I}(\sim)$$

**Lemma 2.** If  $P_1, \dots, P_r \vdash\text{-} Q_1, \dots, Q_s$ , then

$$\vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& Q_1 \& \dots \& Q_s. \\ \mathcal{I}(\sim)$$

This lemma is an immediate consequence of *Lemma 1*. Indeed, from  $P_1, \dots, P_r \vdash\text{-} Q_1, \dots, Q_s$ , by *Lemma 1*, it follows that

$$\begin{array}{c} \vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& Q_1 \\ \mathcal{I}(\sim) \\ \vdots \\ \vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& Q_s \\ \mathcal{I}(\sim) \end{array}$$

are theorems; hence,  $\vdash\text{-} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& Q_1 \& \dots \& Q_s$ .

Now, we shall prove *Theorem 1*.

The "if" part. Suppose that  $P_1, \dots, P_r \vdash_{\mathcal{J}} Q_1, \dots, Q_s$  and  $Q_1, \dots, Q_s \vdash_{\mathcal{J}} P_1, \dots, P_r$ . Therefrom, by *Lemma 2*,

$$\vdash_{\mathcal{J}(\sim)} P_1 \& \dots \& P_r \sim P_1 \& \dots \& P_r \& Q_1 \& \dots \& Q_s,$$

and

$$\vdash_{\mathcal{J}(\sim)} Q_1 \& \dots \& Q_s \sim Q_1 \& \dots \& Q_s \& P_1 \& \dots \& P_r,$$

and hence

$$\vdash_{\mathcal{J}(\sim)} P_1 \& \dots \& P_r \sim Q_1 \& \dots \& Q_s.$$

The „only if“ part. Suppose that  $\vdash_{\mathcal{J}(\sim)} P_1 \& \dots \& P_r \sim Q_1 \& \dots \& Q_s$  and let  $A_1, \dots, A_p, B_1, \dots, B_q$  be arbitrary formulae of  $\mathcal{J}$ . Let us associate the sequents

$$A_1, \dots, A_p \vdash_{\mathcal{J}} B_1, \dots, B_q \text{ and } B_1, \dots, B_q \vdash_{\mathcal{J}} A_1, \dots, A_p$$

to the formula

$$A_1 \& \dots \& A_p \sim B_1 \& \dots \& B_q$$

and let  $\Psi$  denote this association.

Applying the mapping  $\Psi$  to the axioms of  $\mathcal{J}(\sim)$  we obtain proofs from hypotheses in  $\mathcal{J}$ . For example, such proofs from hypotheses are  $A_i \vdash_{\mathcal{J}} \top$ ,  $\top \vdash_{\mathcal{J}} A_i$ ;  $A, \top \vdash_{\mathcal{J}} A$ ;  $\Phi_1, \dots, \Phi_k \vdash_{\mathcal{J}} \Phi_1, \dots, \Phi_k, \Phi$  and so on.

Moreover, the mapping  $\Psi$  is in accordance with rules of  $\mathcal{J}(\sim)$  — in fact, the rules of  $\mathcal{J}(\sim)$  are translated into true statements about proofs from hypotheses in  $\mathcal{J}$ . For example, to the rule

$$\frac{A \sim B, B \sim C}{A \sim C}$$

there corresponds the statement

$$\text{If } A \vdash_{\mathcal{J}} B, B \vdash_{\mathcal{J}} A, B \vdash_{\mathcal{J}} C, C \vdash_{\mathcal{J}} B, \text{ then } A \vdash_{\mathcal{J}} C, C \vdash_{\mathcal{J}} A.$$

In accordance with consideration, if we apply  $\Psi$  to the supposed theorem

$$P_1 \& \dots \& P_r \sim Q_1 \& \dots \& Q_s,$$

we obtain proofs from hypotheses

$$P_1, \dots, P_r \vdash_{\mathcal{J}} Q_1, \dots, Q_s \text{ and } Q_1, \dots, Q_s \vdash_{\mathcal{J}} P_1, \dots, P_r.$$

This completes the proof of the theorem.

According to *Theorem 1*, just proved, we can say that in a sense  $\mathcal{J}(\sim)$  is a formalization of deduction relation of  $\mathcal{J}$ . In particular, by *Theorem 1* it follows that

$$A \vdash_{\mathcal{J}} B, B \vdash_{\mathcal{J}} A \text{ iff } \vdash_{\mathcal{J}(\sim)} A \sim B.$$

3. By the next theorem a connection is established between the theorems of  $\mathcal{T}$  and some theorems of  $\mathcal{T}(\sim)$ .

Lemma 3. Let  $A$  be any formula of  $\mathcal{T}$ ; then

$$\vdash_{\mathcal{T}} A \text{ iff } \vdash_{\mathcal{T}(\sim)} A \sim \top$$

Proof.  $\vdash A$  iff  $A \vdash \top$ ,  $\top \vdash A$  (by definition of  $\vdash$ )  
 iff  $\vdash A \sim \top$  (by *Theorem 1*)

Hence,  $\vdash A$  iff  $\vdash A \sim \top$ .

Let  $f$  denote a mapping of the set *For* ( $\mathcal{T}$ ) (the set of formulae of  $\mathcal{T}$ ) into the set *For* ( $\mathcal{T}(\sim)$ ), defined by equality

$$f(A) \stackrel{\text{def}}{=} A \sim \top.$$

According to *Lemma 3*, by the injective mapping  $f$  the set of theorems of  $\mathcal{T}$  is mapped into the set of theorems of  $\mathcal{T}(\sim)$ . Moreover, the mapping "translates" the proofs of  $\mathcal{T}$  into (incomplete, but completable) proofs of  $\mathcal{T}(\sim)$ . In fact:

- (i) if  $A_i$  is an axiom of  $\mathcal{T}$ , then  $f(A_i)$ , i.e.  $A_i \sim \top$  is a theorem of  $\mathcal{T}$ ;  
 (ii) if

$$\frac{\Phi_1, \dots, \Phi_k}{\Phi}$$

is a rule of  $\mathcal{T}$ , then in  $\mathcal{T}(\sim)$  it is the case that<sup>2)</sup>

$$f(\Phi_1), \dots, f(\Phi_k) \vdash f(\Phi)$$

i.e.

$$\Phi_1 \sim \top, \dots, \Phi_k \sim \top \vdash \Phi \sim \top$$

Having in mind the properties of the map  $f$  (it is 1-1, it translates theorems and proofs of  $\mathcal{T}$  into theorems and proofs of  $\mathcal{T}(\sim)$ ) we can say:

$\mathcal{T}$  is isomorphically embedded in  $\mathcal{T}(\sim)$  by the mapping  $f$ .

In this way we conclude that the following theorem is valid.

**Theorem 2.** Any formal theory can be isomorphically embedded in an equational formal theory.

4. Let  $\mathcal{T}$  be a formulation of the classical propositional calculus, say  $P_2$  of [1]. The axioms (inessentially modified) are formulas of the form<sup>3)</sup>

$$A \Rightarrow (B \Rightarrow A), (A \Rightarrow (B \Rightarrow C)) \Rightarrow ((A \Rightarrow B) \Rightarrow (A \Rightarrow C)), (\top A \Rightarrow \top B) \Rightarrow (B \Rightarrow A)$$

( $A, B, C$  are propositional formulas),

<sup>2)</sup> Indeed, let  $\Phi_1 \sim \top, \dots, \Phi_k \sim \top$  be hypotheses. Using them we obtain  $\Phi_1 \& \dots \& \Phi_k \sim \top \& \dots \& \top$ , i.e.  $\Phi_1 \& \dots \& \Phi_k \sim \top$ . But we have  $\Phi_1 \& \dots \& \Phi_k \sim \Phi_1 \& \dots \& \Phi_k \& \Phi$  and hence  $\Phi_1 \& \dots \& \Phi_k \& \Phi \sim \top$ . Therefore,  $\top \& \Phi \sim \top$  and finally,  $\Phi \sim \top$ .

<sup>3)</sup> The primitive connectives are  $\Rightarrow$  and  $\top$ . The connectives  $\wedge, \vee$  and  $\Leftrightarrow$  are defined in terms of the primitive ones: for example,  $A \vee B$  stand for  $(A \Rightarrow B) \Rightarrow B$ , etc.

The only rule is modus ponens:

$$\frac{A, A \Rightarrow B}{B}$$

We prove

**Theorem 3.** Let  $A_1, \dots, A_p, B_1, \dots, B_q$  be any formulas of  $P_2$ , then

$$\vdash_{P_2(\sim)} A_1 \& \dots \& A_p \sim B_1 \& \dots \& B_q \quad \text{iff} \quad \vdash_{P_2} A_1 \wedge \dots \wedge A_p \Leftrightarrow B_1 \wedge \dots \wedge B_q.$$

**Proof.**  $\vdash_{P_2(\sim)} A_1 \& \dots \& A_p \sim B_1 \& \dots \& B_q$  iff

$$A_1, \dots, A_p \vdash_{P_2} B_1, \dots, B_q \quad \text{and} \quad B_1, \dots, B_q \vdash_{P_2} A_1, \dots, A_p$$

(by *Theorem 1*); but this is the case iff

$$A_1 \wedge \dots \wedge A_p \vdash_{P_2} B_1 \wedge \dots \wedge B_q \quad \text{and} \quad B_1 \wedge \dots \wedge B_q \vdash_{P_2} A_1 \wedge \dots \wedge A_p$$

(this is provable in  $P_2$ ); again, this is the case iff

$$\vdash_{P_2} A_1 \wedge \dots \wedge A_p \Rightarrow B_1 \wedge \dots \wedge B_q \quad \text{and} \quad \vdash_{P_2} B_1 \wedge \dots \wedge B_q \Rightarrow A_1 \wedge \dots \wedge A_p$$

(by *deduction theorem*); by definition of  $\Leftrightarrow$ , this is the case iff

$$\vdash_{P_2} A_1 \wedge \dots \wedge A_p \Leftrightarrow B_1 \wedge \dots \wedge B_q.$$

Let us note that the preceding proof relies on the fact that in  $\mathcal{T}$  viz.  $P_2$  the following conditions are satisfied:

**Condition 1.** There is an operation in  $\mathcal{T}$ , in symbols  $\wedge$ , such that  $A, B \vdash_{\mathcal{T}} A \wedge B$  and  $A \wedge B \vdash_{\mathcal{T}} A, B$  ( $A, B$  are formulas of  $\mathcal{T}$ ).

**Condition 2.** There is an operation in  $\mathcal{T}$ , in symbols  $\Rightarrow$ , such that  $A \vdash_{\mathcal{T}} B$  iff  $\vdash_{\mathcal{T}} A \Rightarrow B$  ( $A, B$  are formulas of  $\mathcal{T}$ ).

According to *Theorem 3.* to any theorem

$$A_1 \& \dots \& A_p \sim B_1 \& \dots \& B_q$$

of  $P_2(\sim)$  there corresponds the theorem

$$A_1 \wedge \dots \wedge A_p \Leftrightarrow B_1 \wedge \dots \wedge B_q$$

of  $P_2$ . In other words, by substituting  $\wedge$  and  $\Leftrightarrow$  for  $\&$  and  $\sim$ , respectively, the formulas of  $P_2(\sim)$  are translated in to formulas of  $P_2$ , and, moreover, theorems are translated into theorems. Also, (this is proved easily), by this injective mapping the proofs of  $P_2(\sim)$  are translated into (completable) proofs

of  $P_2$ . On the other hand, the converse is also true in a sense; for example, to any theorem  $A$  of  $P_2$  there corresponds (by *Lemma 3*) the theorem  $A \sim \top$  of  $P_2(\sim)$ . Therefore,  $P_2(\sim)$  is isomorphically embedded in  $P_2$ . The calculus  $P_2(\sim)$  we shall also call an equational reformulation of  $P_2$ .

**Remark.** Let us note that the axioms of  $P_2(\sim)$  can be transformed into axioms of Boolean algebra (cf. for example, [3], p. 5)

$$\begin{aligned} & A \wedge \top \sim A \quad A \vee \top \sim A \\ & A \wedge \top A \sim \top \top, \quad A \vee \top A \sim \top \\ (\mathcal{B}) \quad & A \wedge B \sim B \wedge A, \quad A \vee B \sim B \vee A \\ & A \wedge (B \vee C) \sim (A \wedge B) \vee (A \wedge C), \quad A \vee (B \wedge C) \sim (A \vee B) \wedge (A \vee C) \end{aligned}$$

and, in addition<sup>4)</sup>

$$(5) \quad A \& B \sim A \wedge B$$

( $A, B, C$  are any formulas of  $P_2$ ;  $\top$  is, say,  $p \Rightarrow (p \Rightarrow p)$ ).

**Proof.** Using axioms and rules of  $P_2(\sim)$ , we prove easily  $(\mathcal{B})$ , (5), (6).

The formula (5) can be proved as follows. We have

$$A, B \vdash_{P_2} A \wedge B, \quad A \wedge B \vdash_{P_2} A, B$$

and, hence, by *Theorem 1*

$$\vdash_{P_2(\sim)} A \& B \sim A \wedge B.$$

Furthermore, the proof of, say

$$\frac{A \sim B}{\top A \sim \top B}$$

is as follows. Suppose that  $\vdash_{P_2(\sim)} A \sim B$ ; then according to *Theorem 3*,

$$\vdash_{P_2} A \Leftrightarrow B.$$

Hence, using the well-known properties of  $P_2$ , we conclude that

$$\vdash_{P_2} \top A \Leftrightarrow \top B,$$

and hence, again by *Theorem 3*, we obtain  $\vdash_{P_2(\sim)} \top A \Leftrightarrow \top B$ .

Let us assume now that  $(\mathcal{B})$ , (5), and (6) hold and let us prove the axioms and rules of  $P_2(\sim)$ . Using  $(\mathcal{B})$ , (5), and (6) we can prove various facts about Boolean algebra, such as

$$\top \top A \sim A, \quad \top (A \wedge B) \sim \top A \vee \top B, \quad A \Rightarrow B \sim \top A \vee B \text{ etc.}$$

<sup>4)</sup> Besides the axioms given above, we assume a number of properties of equality ( $\sim$  stands for  $=$ ):

$$(6) \quad A \sim A, \quad \frac{A \sim B}{B \sim A}, \quad \frac{A \sim B, B \sim C}{A \sim C}, \quad \frac{A \sim B}{\top A \sim \top B}, \quad \frac{A \approx B, C \sim D}{A \wedge C \sim B \wedge D}, \quad \frac{A \sim B, C \sim D}{A \vee C \sim B \vee D}$$

$$\frac{A \sim B, C \sim D}{A \& C \sim B \& D}$$

Using the last formula, we easily prove formulas

$$A \Rightarrow (B \Rightarrow A) \sim \top, \quad (A \Rightarrow (B \Rightarrow C)) \Rightarrow ((A \Rightarrow B) \Rightarrow (A \Rightarrow C)) \sim \top,$$

$$(\top A \Rightarrow \top B) \Rightarrow (B \Rightarrow A) \sim \top$$

i.e. a number of axioms of  $P_2(\sim)$ . These axioms are of the form (2) (a). The axioms of the form (2) (b) are proved easily, using (5). In a similar way we prove axioms of the form (3) (c), i.e. the formula  $A \& (A \Rightarrow B) \sim A \& (A \Rightarrow B) \& B$ .

Let  $\mathcal{T}$  be a formal theory satisfying conditions 1. and 2. This means that the symbols  $\wedge$ ,  $\Rightarrow$  are either primitive in  $\mathcal{T}$  or defined<sup>5)</sup> such that we have 1. and 2. viz.

$$A, B \vdash_{\mathcal{T}} A \wedge B \quad \text{and} \quad A \wedge B \vdash_{\mathcal{T}} A, B$$

$$A \vdash_{\mathcal{T}} B \quad \text{iff} \quad \vdash_{\mathcal{T}} A \Rightarrow B$$

Then we have the following theorem which is proved almost in the same way as in the case of  $P_2$ .

**Theorem 4.**

$$1^\circ \quad \vdash_{\mathcal{T}} A \quad \text{iff} \quad \vdash_{\mathcal{T}(\sim)} \neg A \sim \top$$

$$2^\circ \quad \vdash_{\mathcal{T}} A \Leftrightarrow B \quad \text{iff} \quad \vdash_{\mathcal{T}(\sim)} \neg A \sim B.$$

In other words, if the conditions 1. and 2. are satisfied,  $\mathcal{T}(\sim)$  is an equational reformulation of  $\mathcal{T}$ .

Finally, let us note that there are various formal theories satisfying conditions 1. and 2. — for example, the classical propositional calculus, the intuitionistic propositional calculus and many others.

#### REFERENCES

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<sup>5)</sup> Then, for example,  $A \wedge B$  stand for a formula constructed in some way out of subformulas of  $A$  and  $B$ .